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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Herbert J. Tarenskeen et al

Serial No.: 09/997,442

Filed: November 29, 2001

For: PARALLEL MIGRATION OF
DATA BETWEEN SYSTEMS

§ Group Art Unit: 2172

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Examiner: Jean B. Fleurantin

Atty. Dkt. No.: 10185 (NCR.0040P1US)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant submits the references listed on the attached form PTO 1449, copies of which are enclosed.

Prior to the filing date of the present application, personnel of NCR Corporation, the Assignee of the present application, were involved in using version(s) of the NPARC Concurrent Archive/Restore Utility at customer sites starting on April 8, 2000. The NPARC Concurrent Archive/Restore Utility was developed in part by Herbert J. Tarenskeen, the inventor of the present application. Although the NPARC Concurrent Archive/Restore Utility was used at customer sites, the actual software for the utility was not provided to any customers.

This statement is being filed after a first Office Action on the merits, but before receipt of a final Office Action or a Notice of Allowance. Please apply the \$180.00 submission fee of §1.17(p) to Deposit Account 50-1673 (10185).

06/08/2004 SZEWDIE1 00000022 501673 09997442

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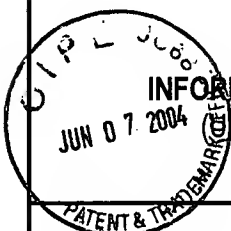
Date of Deposit: <u>June 4, 2004</u>
I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
<u>Dawn L. Thomas</u>
Dawn L. Thomas

Respectfully submitted,

Date: June 3, 2004



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**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

ATTY DOCKET NO.

10185 (NCR.0040P1US)

SERIAL NO.

09/997;442

APPLICANT(S):

HERBERT J. TARENSKEEN et al.

FILING DATE:

November 29, 2001

GROUP ART UNIT:

2172

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.						
	B.						
	C.						
	D.						
	E.						
	F.						
	G.						
	H.						
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	J.							
	K.							
	L.							
	M.							
	N.							
	O.							
	P.							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Q.	NCR Corporation, "Teradata® RDBMS for UNIX Migration from V1R5.x and VR2.x to V2R3.0 For Single-Byte Character Sets," pp. i-viii, 1-1 to 3-4 (December 1999).
	R.	NCR Corporation, "Teradata® Archive/Recovery Reference," pp. i-xiv, 1-2 to 5-16, Appendices A-D (September 2000).
	S.	
	T.	
	U.	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.